## GUIDELINES FOR FILING A RESUBMISSION MDI Life & Health Section

# Please follow all steps listed below when filing a resubmission to the Missouri Department of Insurance Life & Health Section.

### 1. All resubmissions need a cover letter. Please reference in the regarding line:

- a. The company name. The name of the company as licensed on your Certificate of Authority.
- b. The Missouri File Number assigned to your filing.
- c. The initial filing Lead Form Number. This number is the first number on your Transmittal Document.
- d. The date of our latest correspondence, phone call, or email to you.

#### 2. No filing fee is required on resubmissions.

a. This only applies if you are only making the necessary changes outlined in our correspondence to you.

#### 3. A new TD-1 or Transmittal Document must be forwarded:

- a. If you make <u>any changes to any form numbers</u>
- b. If you add new or previously approved forms to the submission
- c. If you delete new or previously approved forms from the submission.
- d. The new TD-1 will replace the original TD-1 and therefore needs to be completed in its entirety.
  - i. If there are any forms not listed on the new TD-1 that were originally listed on the TD-1, we will assume that you no longer wish to have them approved and we will discard them.
- 4. Submit all new and resubmission with a stamped self-addressed envelope.
- 5. Provide us with a contact person that we can call regarding the TD-1.
  - a. This is the same requirement as a Contact Person found on the Missouri Filing Guidelines for the L&H Section
- 6. Provide us with a toll free or collect phone number.
  - a. This is the same requirement as a Phone Number found on the Missouri Filing Guidelines for the L&H Section
- 7. Provide us with the appropriate NAIC Coding Matrix code.
  - a. The NAIC Matrix Code can be found at <a href="http://www.naic.org/rates">http://www.naic.org/rates</a> forms/unif prod matr.htm
- 8. For details about how your filing relates to Missouri Statues and Regulations, please review the form filing checklists on our web site at <a href="http://www.insurance.mo.gov/industry/filings/checklists/index.htm">http://www.insurance.mo.gov/industry/filings/checklists/index.htm</a>